

Atty Docket No. 019693-000100US

PTO FAX NO.: 1-703-746-7239

ATTENTION: Examiner: Pierre Eddy Elisca

Group Art Unit 2161

**SUBMISSION OF INFORMATION DISCLOSURE  
STATEMENT****FOR ENTRY INTO APPLICATION NO. 09/475,959  
FILED DECEMBER 30, 1999****CERTIFICATION OF FACSIMILE TRANSMISSION**

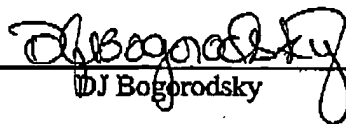
I hereby certify that the following documents in re Application of Steven Karl Schoch et al., Application No. 09/475,959, filed December 30, 1999 for SYSTEM FOR CONTROLLING THE USE OF LICENSED SOFTWARE is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Document(s) Attached

1. Transmittal form (1 page)
2. Fee Transmittal (1 page, submitted in duplicate)
3. IDS with PTO/SB/08B (3 pages)
4. Copy of the first page of each cited reference (15 pages)

Number of pages being transmitted, including this page: 22

Dated: February 11, 2002

  
DJ Bogorodsky

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, CA 94111-3834  
Telephone: 650-326-2400 Fax: 650-326-2422  
PA 3200945 v1



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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/475,959	
	Filing Date	December 30, 1999	
	First Named Inventor	Schoch, Steven Karl	
	Group Art Unit	2161	
	Examiner Name	Pierre Eddy Ellisca	
Total Number of Pages in This Submission	22	Attorney Docket Number	019693-000100US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form (1 page submitted in duplicate) <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement with PTO/SB/08B (3 pages) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Facsimile coversheet (1 page) Copies of page 1 of each cited reference (15 pages)
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm and Individual name	Townsend and Townsend and Crew LLP Steven Cahill Reg. No. 44,578
Signature	
Date	February 11, 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, Fax No. (703) 746-7239 on February 11, 2002	
Typed or printed name	DJ Bogorodsky
Signature	
Date	February 11, 2002

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 180

## Complete if Known

Application Number 09/473,859  
 Filing Date December 30, 1999  
 First Named Inventor Schoch, Steven Karl  
 Examiner Name Pierre Eddy Ellice  
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 Attorney Docket No. 019693-000100US

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																															
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number 20-1430 Deposit Account Name Townsend and Townsend and Crew LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>109</td><td>130</td><td>205</td><td>55</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>130</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reconsideration</td><td></td></tr> <tr><td>112</td><td>820</td><td>112</td><td>820</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>115</td><td>1,840</td><td>115</td><td>1,840</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>116</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>118</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>820</td><td>217</td><td>450</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>129</td><td>1,950</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>100</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>180</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>136</td><td>1,610</td><td>136</td><td>1,610</td><td>Petition to Institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>85</td><td>Petition to revive - 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\*\*or number previously paid, if greater. For Release, see above

SUBMITTED BY		Complete if applicable			
Name (Print/Type)	Steven Child	Registration No. (Attorney/Agent)	44,578	Telephone	650-328-2400
Signature		Date	February 11, 2002		

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at Fax No.: 1-703-746-7239

**PATENT**  
Attorney Docket No.: 019693-000100US  
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On February 11, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: T. Begorolsky

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Steven Karl Schoch et al.

Application No.: 09/475,959

Filed: December 30, 1999

For: SYSTEM FOR CONTROLLING  
THE USE OF LICENSED SOFTWARE

Examiner: Pierre Eddy Elisca

Art Unit: 2161

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08B are being called to the attention of the Examiner. Copies of the first page of each reference are attached. Full copies of each reference will be enclosed under separate cover via first class mail. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

02/27/2002 CCURTI5 00000003 201430 09475939

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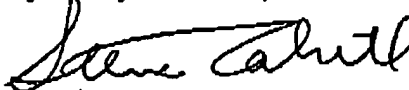
Steven Karl Schöch et al.  
Application No.: 09/475,959  
Page 2

PATENT

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Please deduct \$180.00, pursuant to 37 CFR §1.17(p), from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Steven Cahill  
Reg. No. 44,578

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
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